BaseSpec: Comparative Analysis of Baseband Software and Cellular Specifications for L3 Protocols

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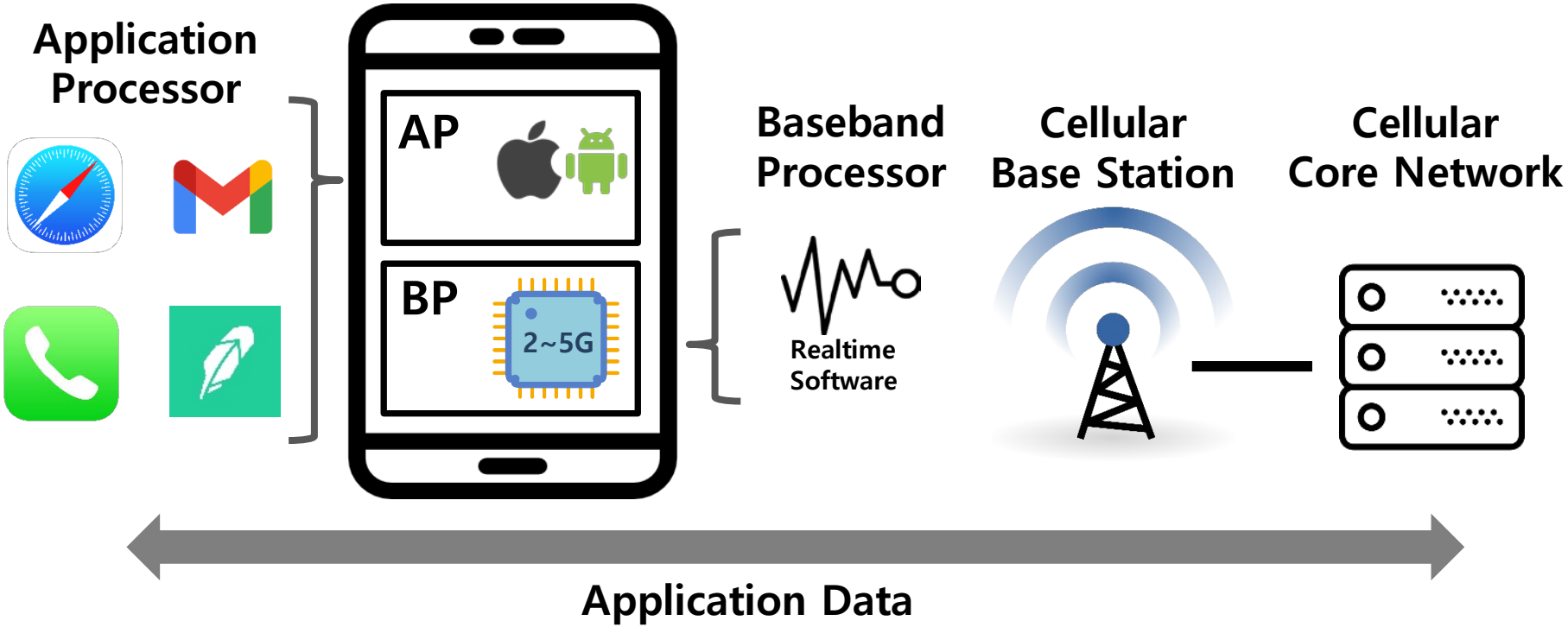
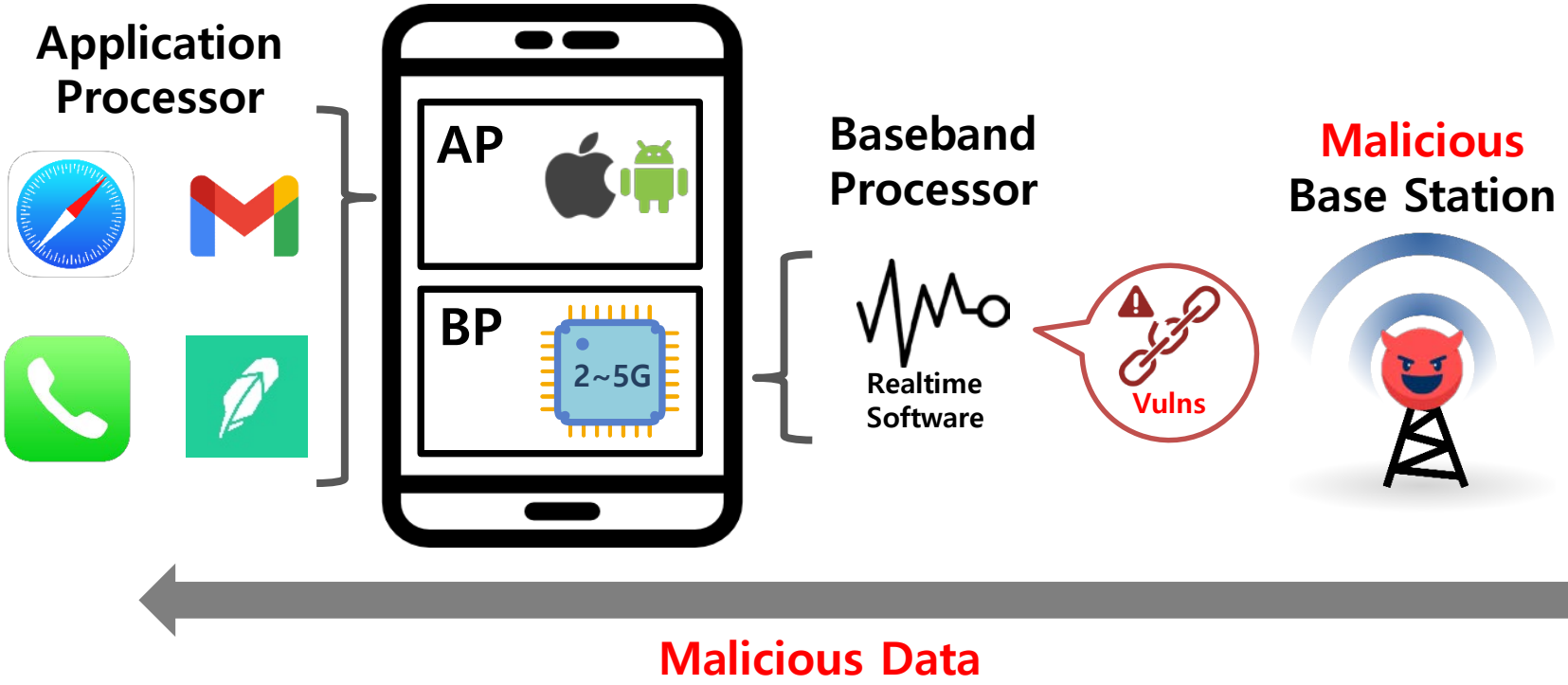
Insu Yun, and Yongdae Kim
KAIST

(*: co-first authors)

Processors in smartphone

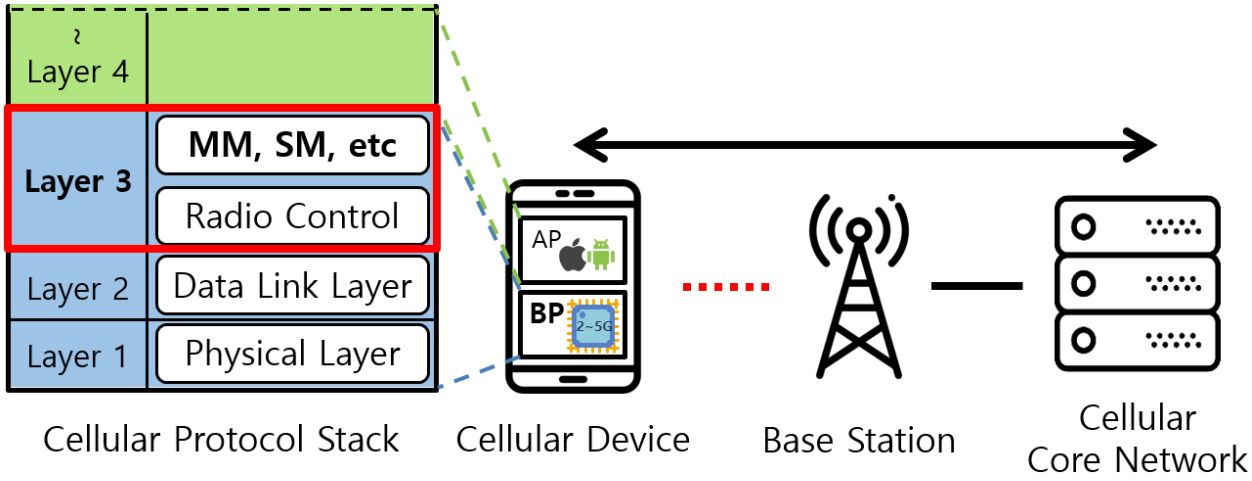


Baseband can be attacked!



Cellular Protocol Stack

- Baseband handles control plane protocols
  - ~100 documents (each has **hundreds of pages**)
- **Layer 3 (L3)** includes core procedures
  - Call control (CC), Mobility management (MM), session management (SM)
- Multiple **vulnerabilities** have been found in Layer 3



Analyzing Baseband Security

- Challenge: **Obscurity -** vendors do not release details of baseband

- Manual analysis
  - Baseband Attacks (Weinmann, WOOT'12)
  - Breaking Band (Golde et al., REcon'16)
  - A walk with Shannon (Cama, OPCDE'18)

- Dynamic analysis
  - SMS of Death (Mulliner et al., Security'11)
  - Security testing of GSM implementations (Broek et al., ESSoS'14)
  - BaseSAFE (Maier et al., WiSec'20)

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  - A walk with Shannon (Cama, OPCDE'18)

  ➔ **Limited scalability** and **applicability**
    - Numerous functions (over 90K) for processing hundreds of messages
    - Diverse firmware versions and device models

- Dynamic analysis
  - SMS of Death (Mulliner et al., Security'11)
  - Security testing of GSM implementations (Broek et al., ESSoS'14)
  - BaseSAFE (Maier et al., WiSec'20)

  ➔ **Hard to automate**
    - Numerous non-trivial operations (e.g., mobility, session, call, ...)
    - Dynamic analysis finds only shallow bugs (e.g., crash)

Observation

- Baseband is software for network communication
  - Receive radio signals
  - **Decode** messages
  - Send responses or update states

- **Decoder** should implement protocol specifications (hundreds of messages)

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Specification Documents → **Message Structures in Baseband** → **Decoder Function**

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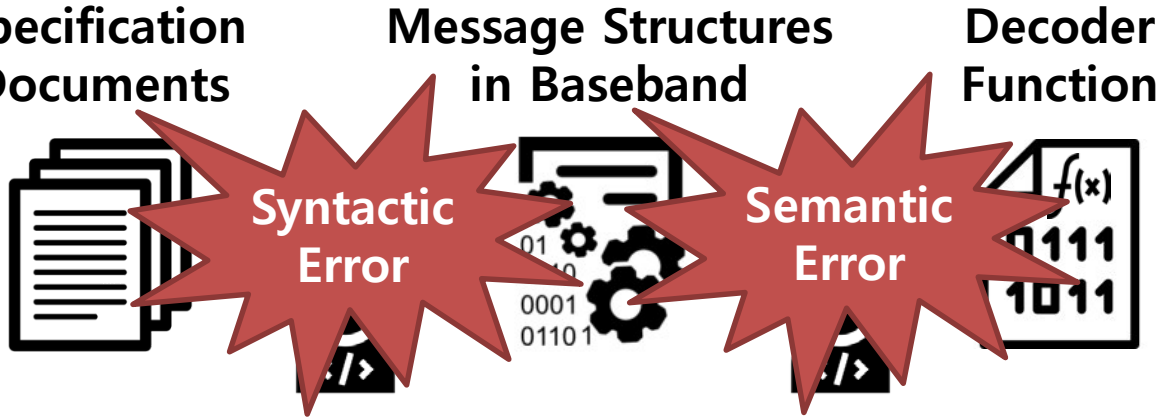
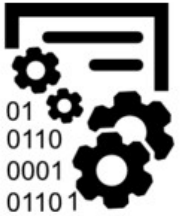


Our Approach - BaseSpec

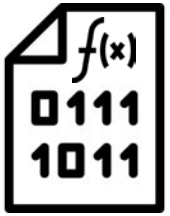
- **Comparative analysis** of baseband and specification

Specification Documents

Message Structures in Baseband



Decoder Function



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Specification Documents → **Message Structures in Baseband** → **Decoder Function**

- Message structures are embedded in a **machine-friendly** form
  - ➔ Comparing the structures with the documented specification can be **automated**

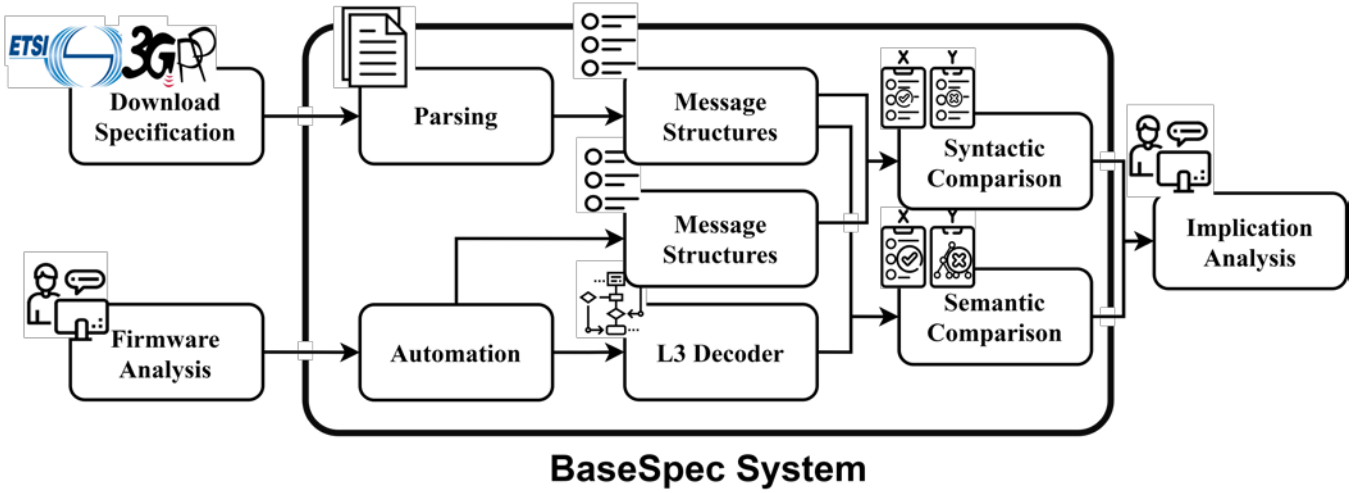
Our Approach - BaseSpec

- **Comparative analysis** of baseband and specification

Specification Documents → **Message Structures in Baseband** → **Decoder Function**

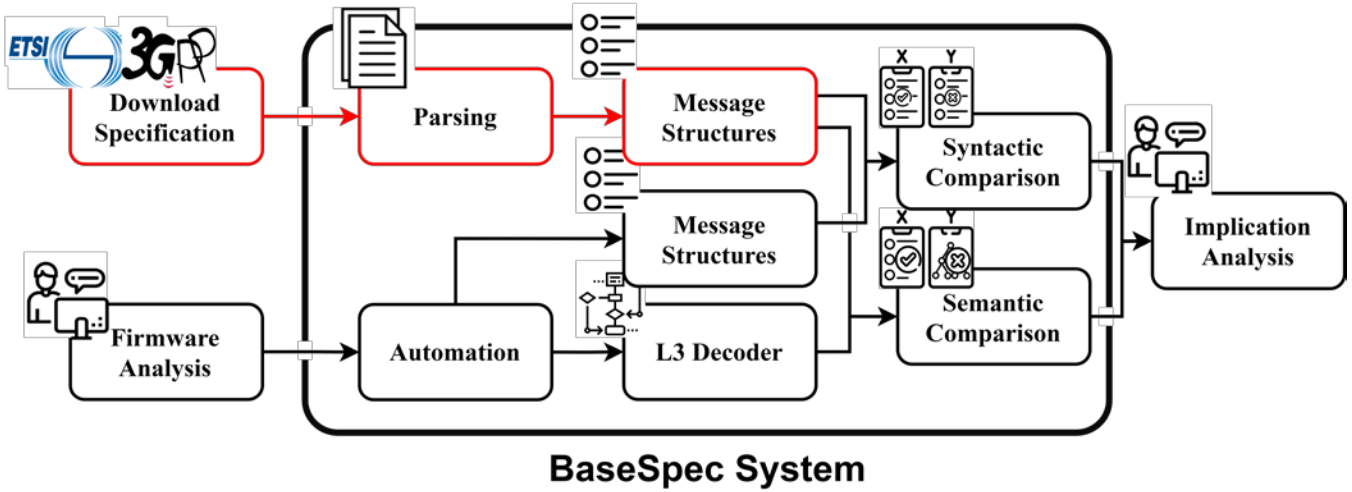
- Message structures are embedded in a **machine-friendly** form
  - ➔ Comparing the structures with the documented specification can be **automated**
- Main decoding logic **rarely changes**
  - ➔ Once analyzed**, applicable** to various firmware versions and device models

BaseSpec Overview



BaseSpec Overview

1. Extract message structures from the specification documents



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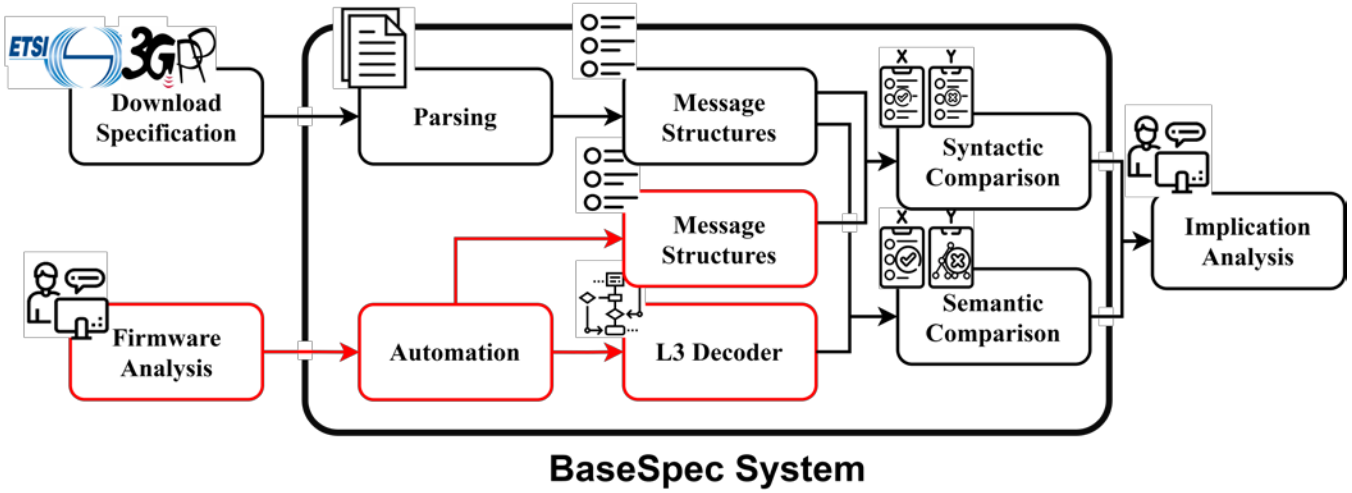
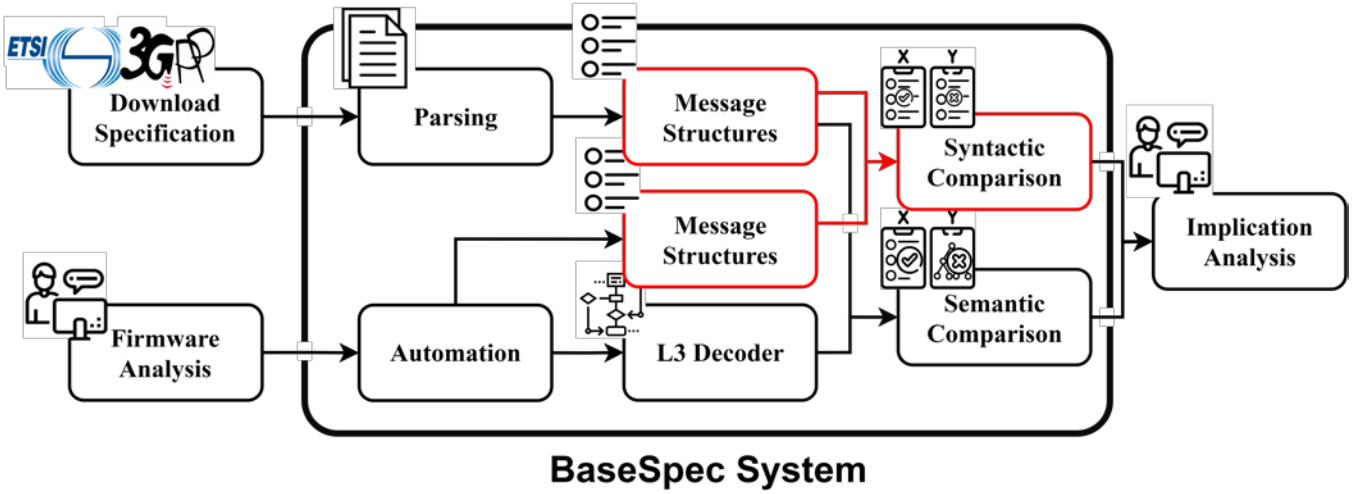
1. Extract message structures from the specification documents
2. Extract message structures and decoder information from the firmware



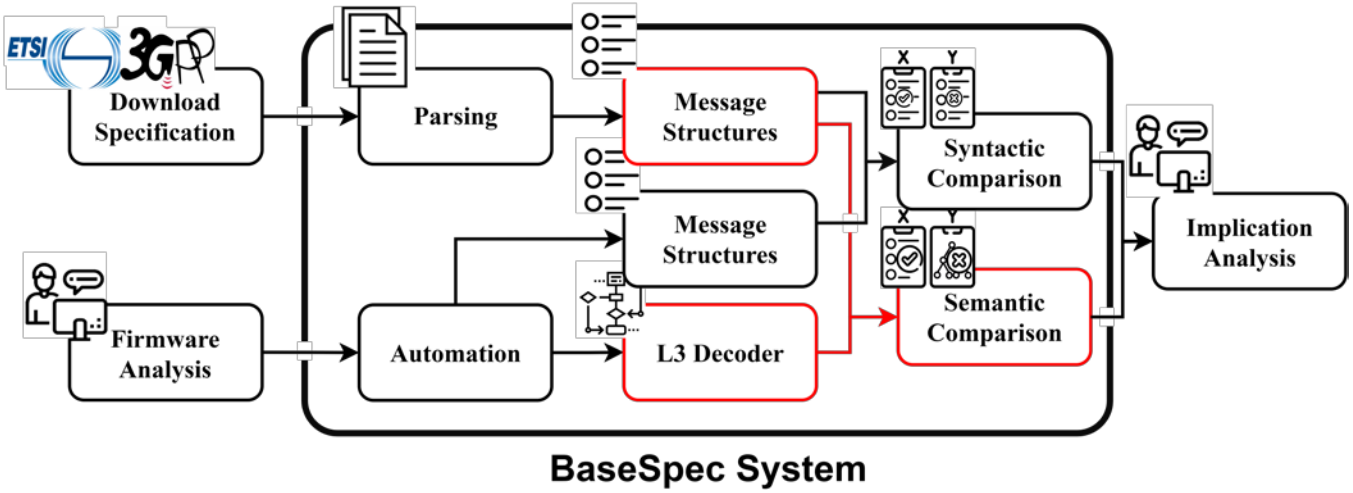
BaseSpec Overview

1. Extract message structures from the specification documents
2. Extract message structures and decoder information from the firmware
3. Syntactically



BaseSpec Overview

1. Extract message structures from the specification documents
2. Extract message structures and decoder information from the firmware
3. Syntactically, 4. Semantically compare them



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1. Extract message structures from the specification documents
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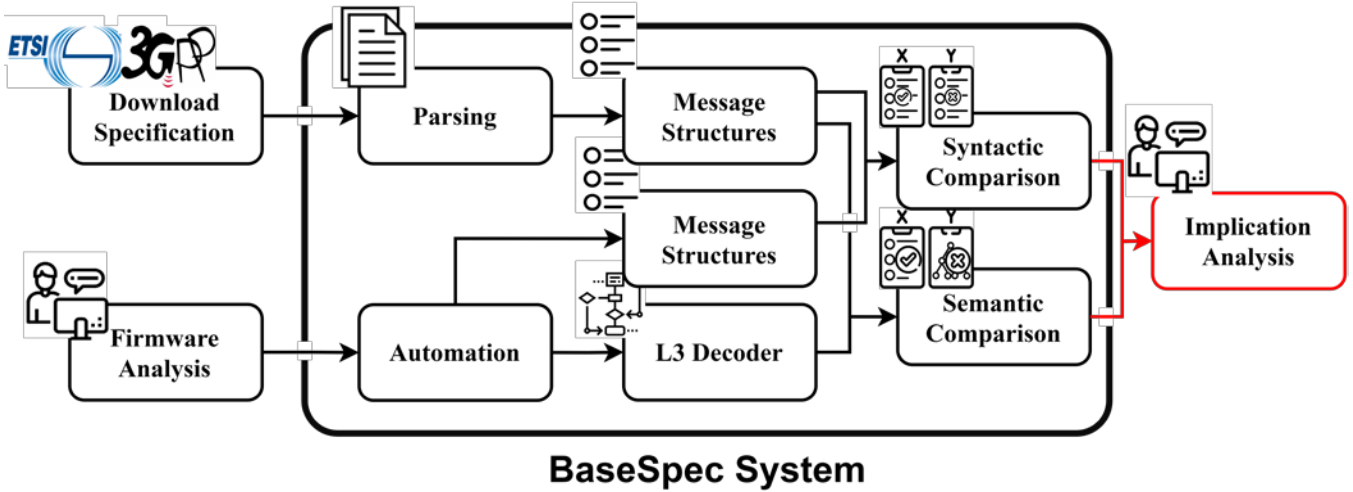
3. Syntactically, 4. Semantically compare them
5. Report the mismatch results



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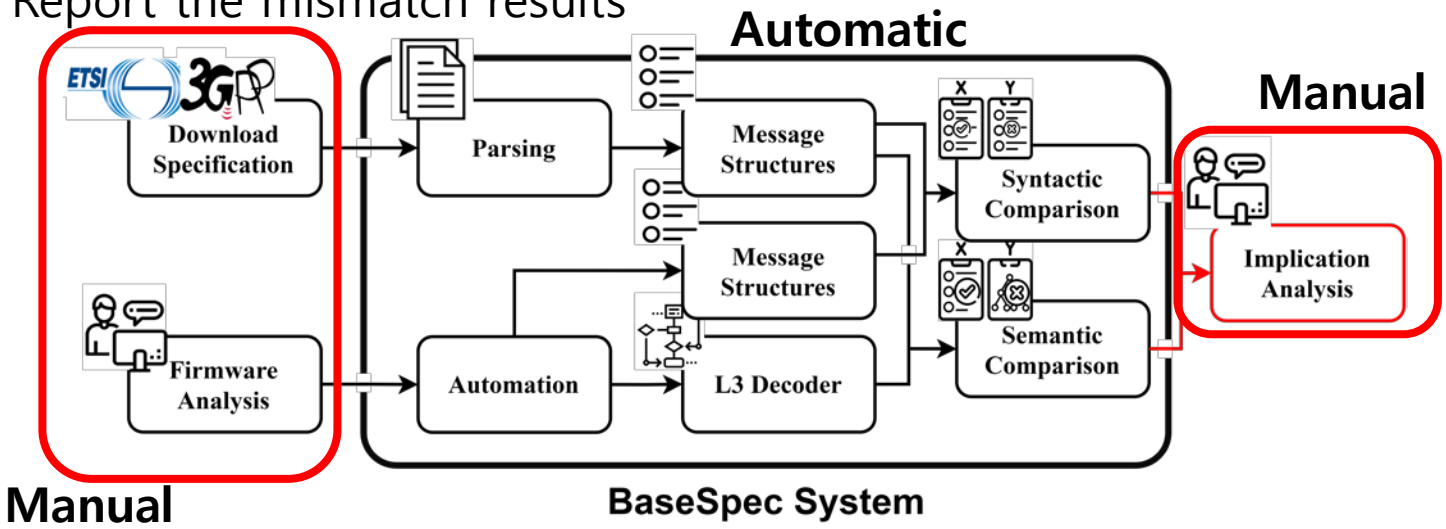
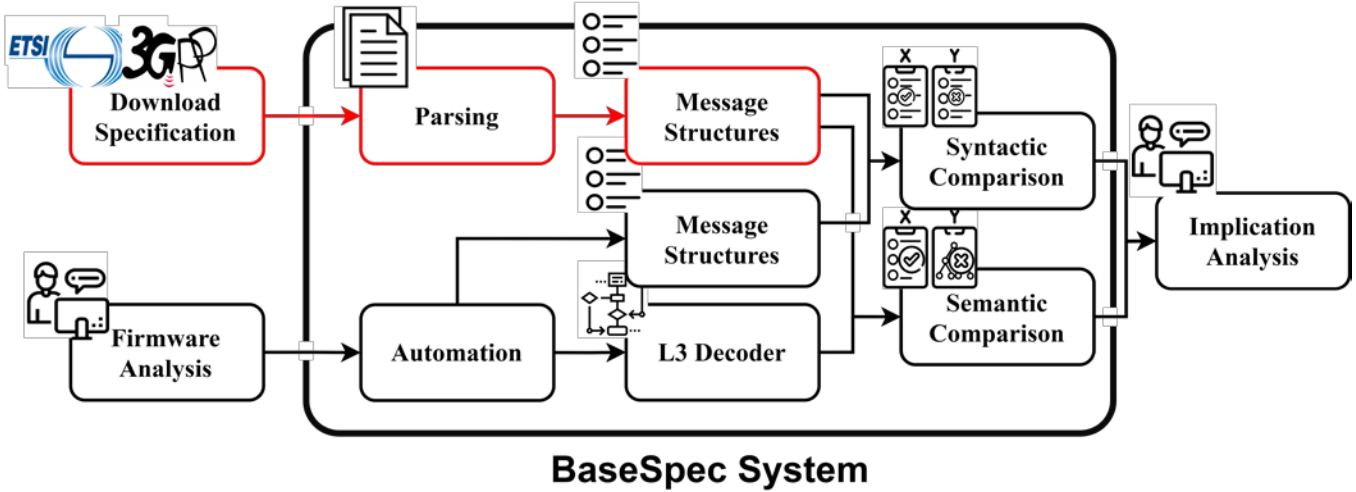


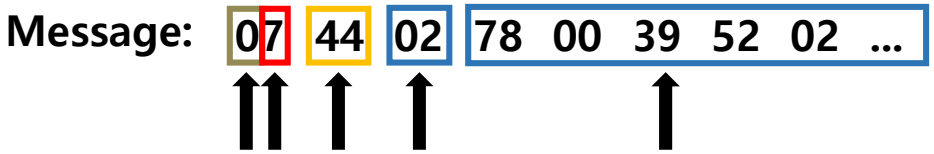
1. Extracting Msg. Structures from Spec.

1) Download spec documents from the 3GPP (.doc) and ETSI (.pdf) websites
2) Convert documents to raw text
3) Handle inconsistencies (documents are written in a natural language)
4) Parse message structures



Standard L3 Messages

- Have a standardized form



- Message: set of Information Element (IE)

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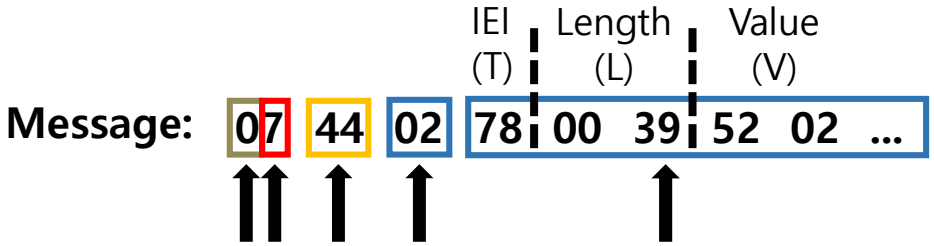

- Message: set of Information Element (IE)
- An IE can have three elements
  - IEI: IE Identifier (T), Length (L), Value (V)
- An IE can be mandatory or optional

Specification TS 24.301 - EMM (0x7)

Table 8.2.3.1: ATTACH REJECT message content

IEI	Information Element	Type/Reference	Presence	Format	Length
	Protocol discriminator	Protocol discriminator 9.2	M	V	1/2
	Security header type	Security header type 9.3.1	M	V	1/2
	Attach reject message identity	Message type 9.8	M	V	1
	EMM cause	EMM cause 9.9.3.9	M	V	1
78	ESM message container	ESM message container 9.9.3.15	O	TLV-E	6-n
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Presence: Mandatory (M), Optional (O)

IEI: Information Element Identifier

Format: IEI (T), Length (L), Value (V),
Extended (-E)

Specification TS 24.301 - EMM (0x7)

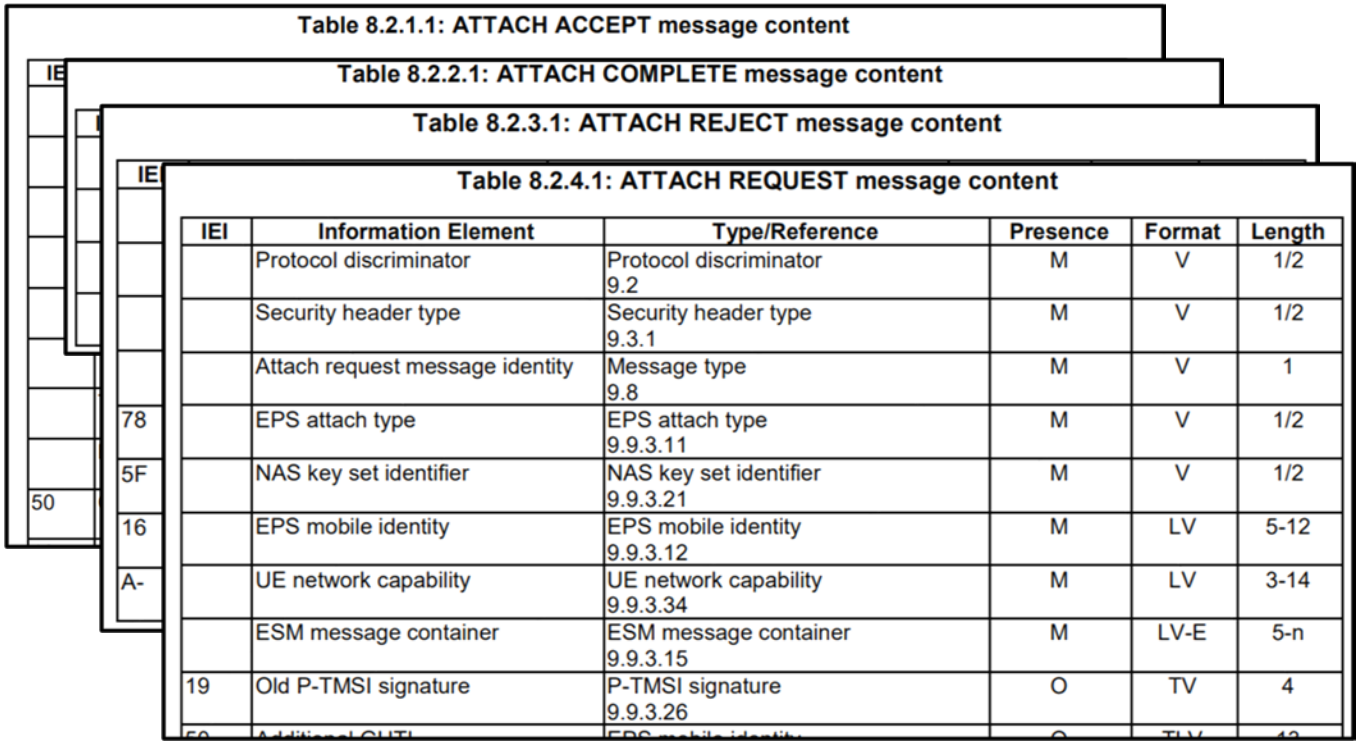

Table 8.2.1.1: ATTACH ACCEPT message content

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Table 8.2.3.1: ATTACH REJECT message content

Table 8.2.4.1: ATTACH REQUEST message content

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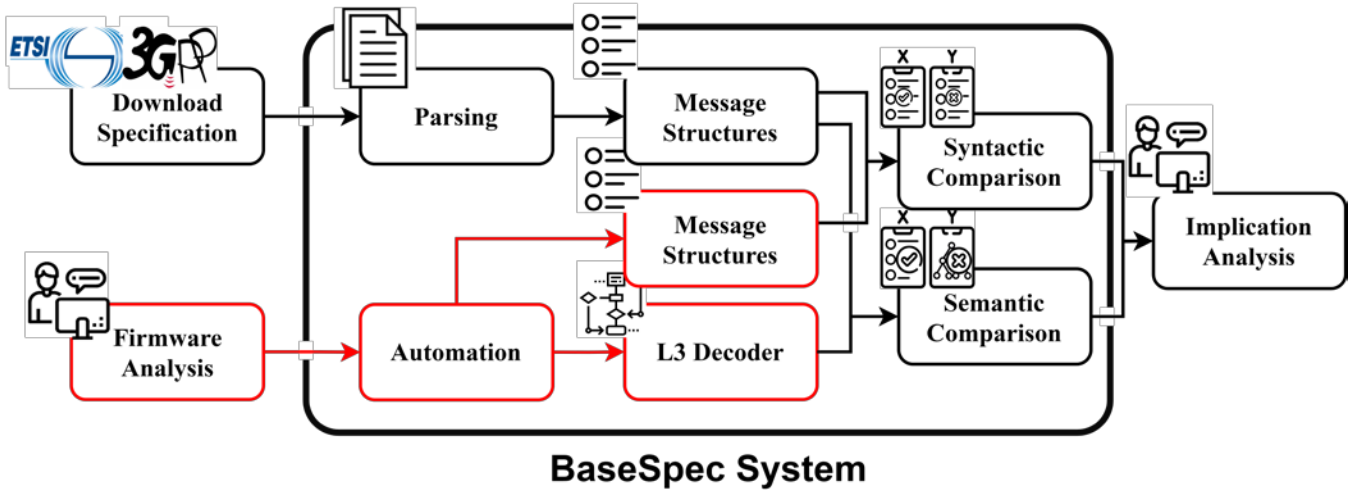
78	EPS attach type	EPS attach type 9.9.3.11	M	V	1/2
5F	NAS key set identifier	NAS key set identifier 9.9.3.21	M	V	1/2
16	EPS mobile identity	EPS mobile identity 9.9.3.12	M	LV	5-12
A-	UE network capability	UE network capability 9.9.3.34	M	LV	3-14
	ESM message container	ESM message container 9.9.3.15	M	LV-E	5-n
19	Old P-TMSI signature	P-TMSI signature 9.9.3.26	O	TV	4

2. Extracting Msg. Structs from Firmware



Firmware Analysis

- Challenge
  - **Obscurity** - vendors do not open firmware details
- Target
  - Firmware from 2 major vendors (architecture: ARM)
- Method
  - Manual analysis to uncover the firmware's obscurity
  - Extract decoder function and message structure information

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➔ After one-time **manual** analysis, can be **automated**

Firmware Analysis

- Preprocessing
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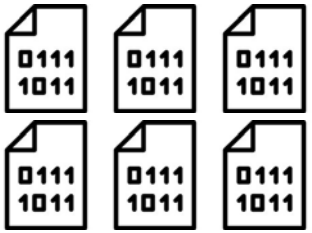
  - Memory layout analysis
  - Function boundary identification



Typical Firmware
(Multiple Binaries)

Baseband Firmware
(Single Binary)

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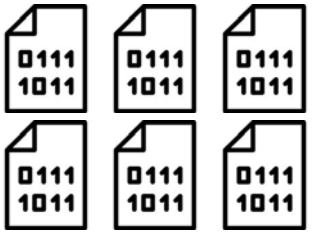
- Identify the L3 decoder
  - Utilize debug information
    - "L3", "EMM", "ESM", ...



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DCD 0xC28
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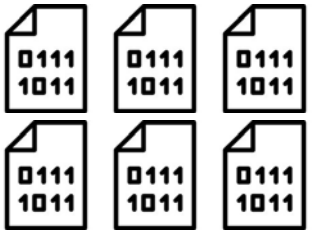
Sample Debug Information

Firmware Analysis

- Preprocessing
  - Firmware extraction
  - Memory layout analysis
  - Function boundary identification
- Identify the L3 decoder
  - Utilize debug information
    - "L3", "EMM", "ESM", ...
- Analyze embedded specification
  (= embedded message structures)



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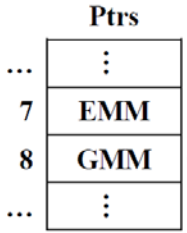
Sample Debug Information

Msg. Structures in Vendor$_1$ Firmware

- 4 types of linked lists



Protocol List

Msg. Structures in Vendor$_1$ Firmware

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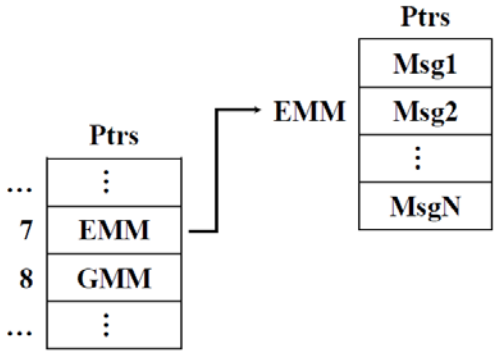

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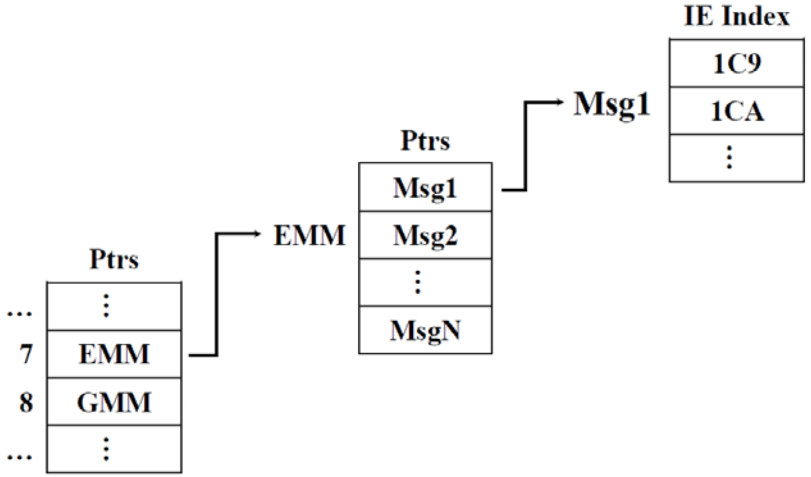

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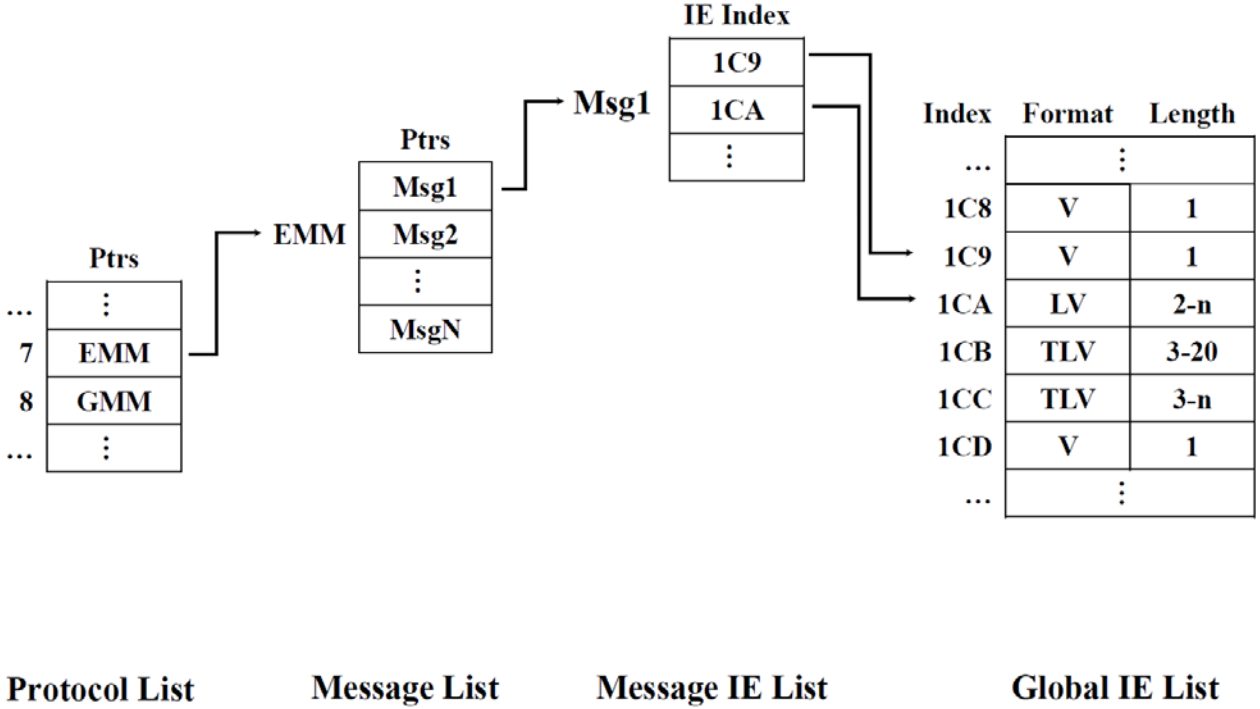

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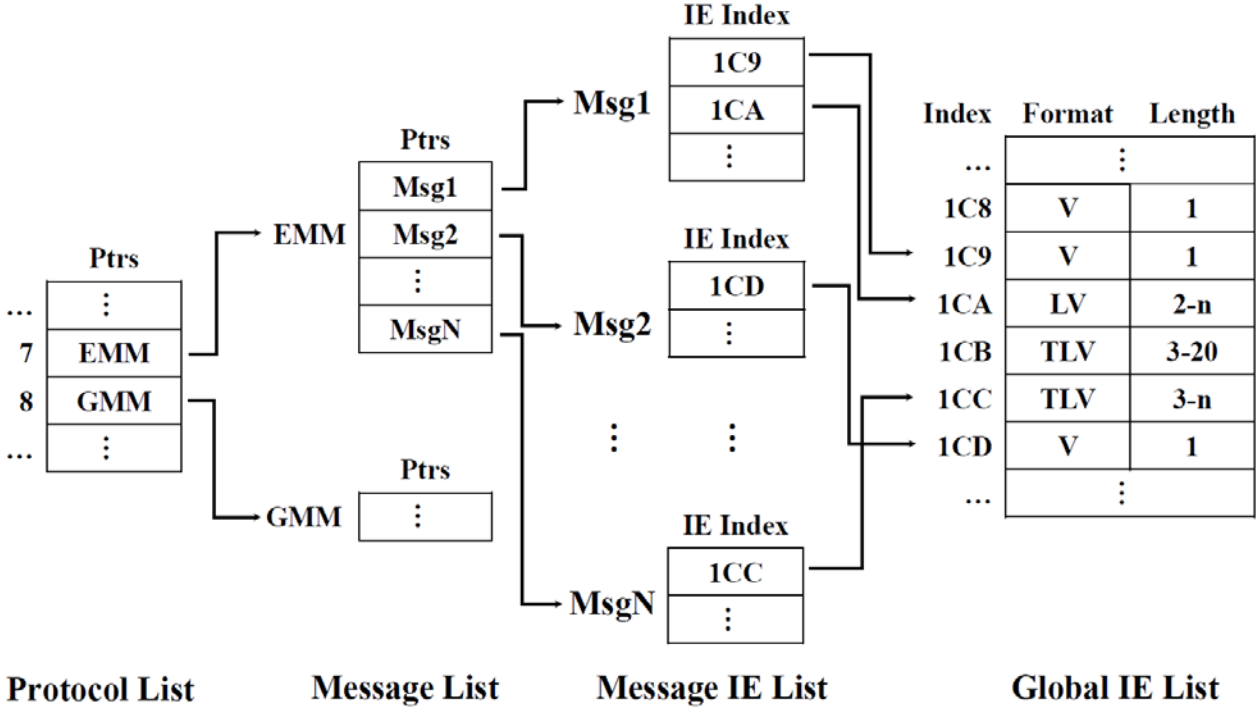
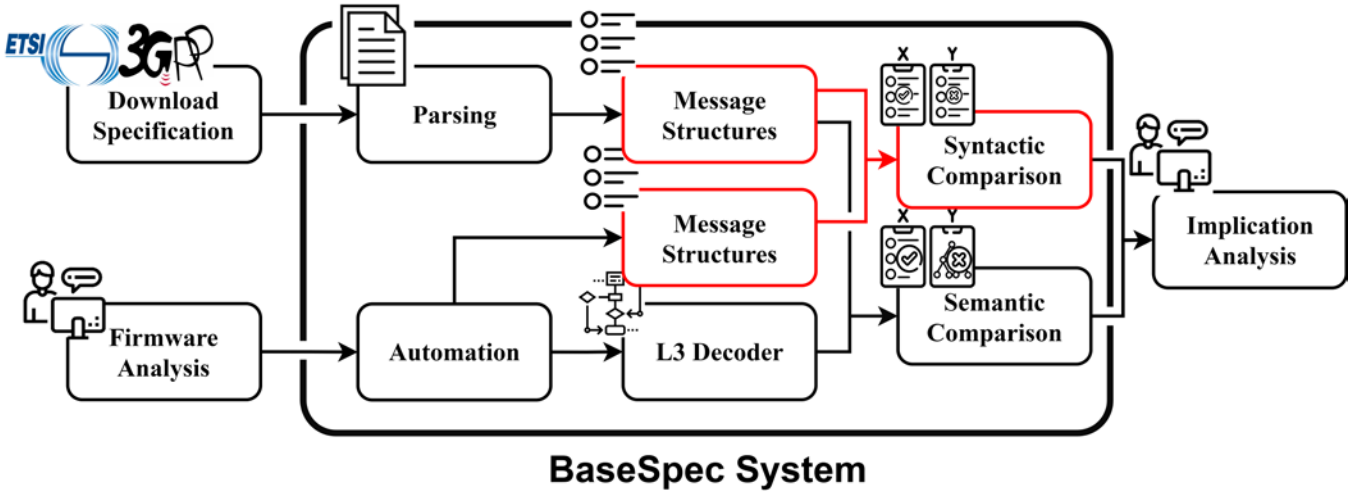


3. Syntactic Comparison

- Check whether the embedded structures are correct
  - Directly indicate developers' mistakes



3. Syntactic Comparison

- Check existence / length

From Specification

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-	Attach reject …	V	1
-	EMM cause	V	1
78	ESM …	TLV-E	**3-n**
5F	T3346 value	TLV	1

From Firmware

IEI	Value Length
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-	-
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-	-
FF	1

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- Invalid Mismatch
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- Missing Mismatch
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3. Syntactic Comparison

- Check existence / length
- Correct
- Invalid Mismatch
  - Incorrect length
- Missing Mismatch
  - Not in firmware
- Unknown Mismatch
  - Only in firmware

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Unknown

From Firmware

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-	-
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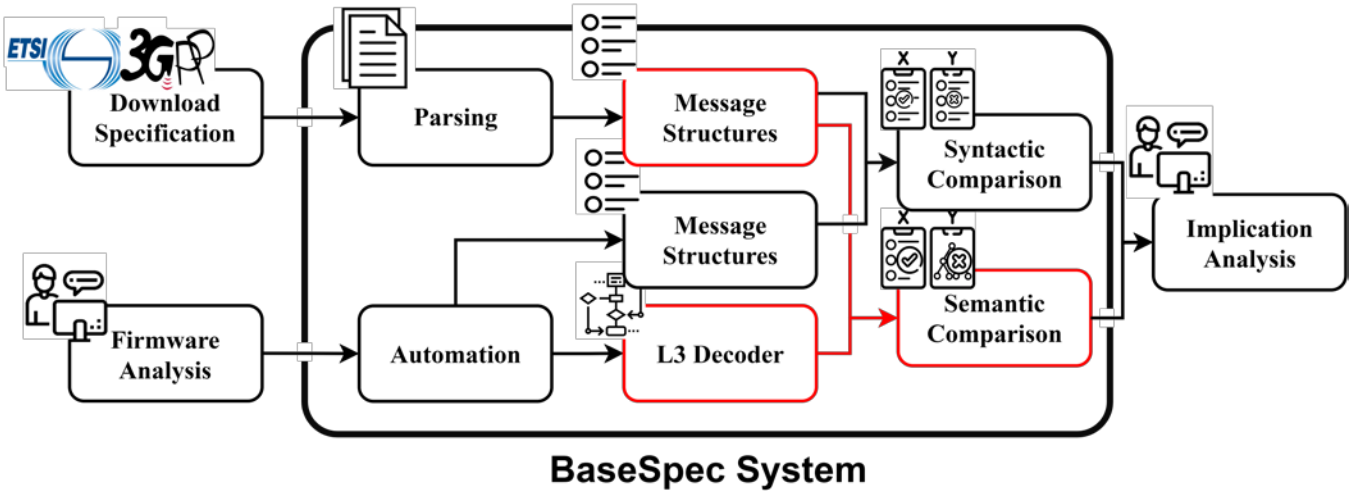
-	1
78	**0-n**
-	-
FF	1

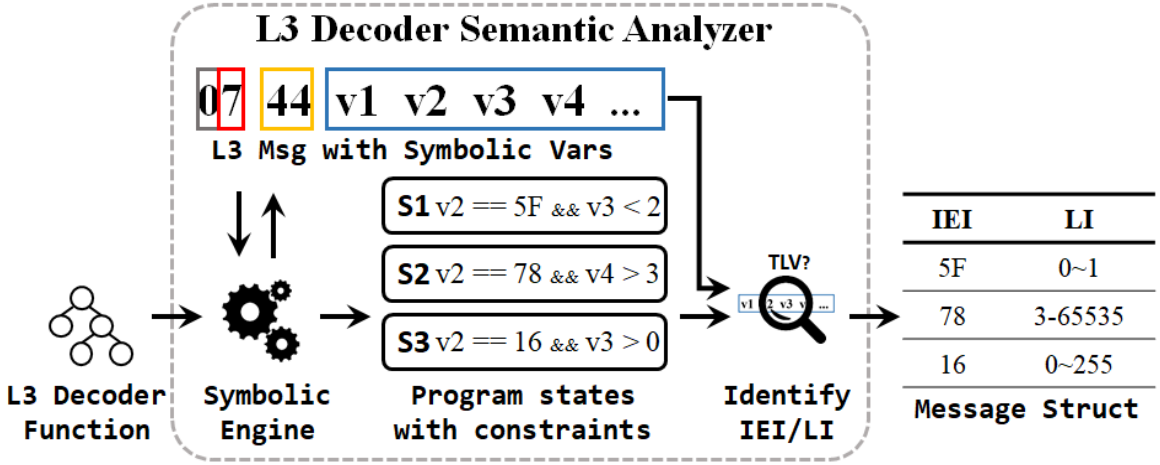
4. Semantic Comparison

- Check whether the decoder operates correctly
  - Can identify missing logic (e.g., length check) or exceptional cases
- Use symbolic execution to analyze the decoding logic



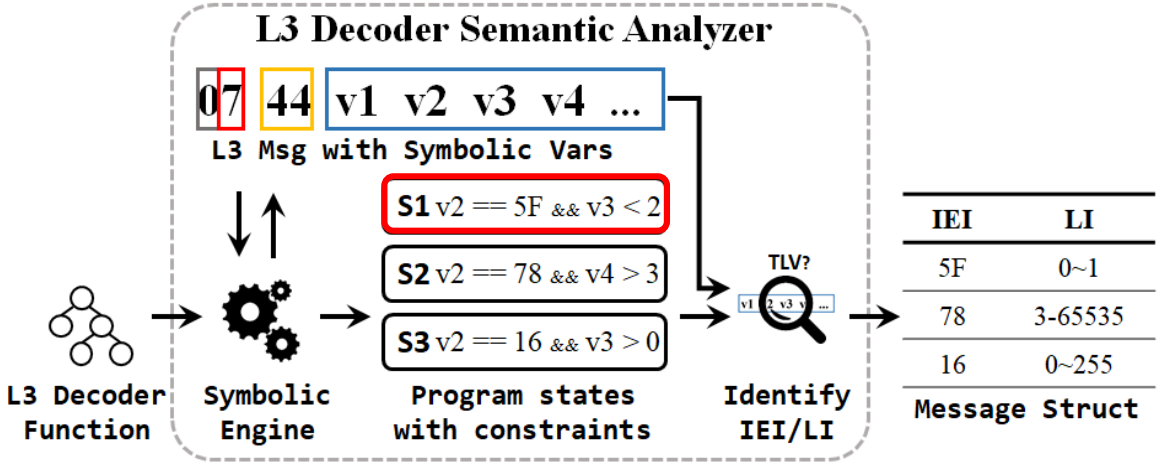
Semantic Analysis

- Run symbolic execution on the decoder function
  - Collect symbolic variables and constraints
    - Created when the decoder checks IE Identifiers (IEIs) or lengths
  - Identify IE Identifier (IEI) and Length Indicator (LI) and build message structures
    - Compared with specifications to find mismatches (invalid, missing, and unknown)



Semantic Analysis

- Run symbolic execution on the decoder function
  - Collect symbolic variables and constraints
    - Created when the decoder checks IE Identifiers (IEIs) or lengths
  - Identify IE Identifier (IEI) and Length Indicator (LI) and build message structures
    - Compared with specifications to find mismatches (invalid, missing, and unknown)



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    - Created when the decoder checks IE Identifiers (IEIs) or lengths
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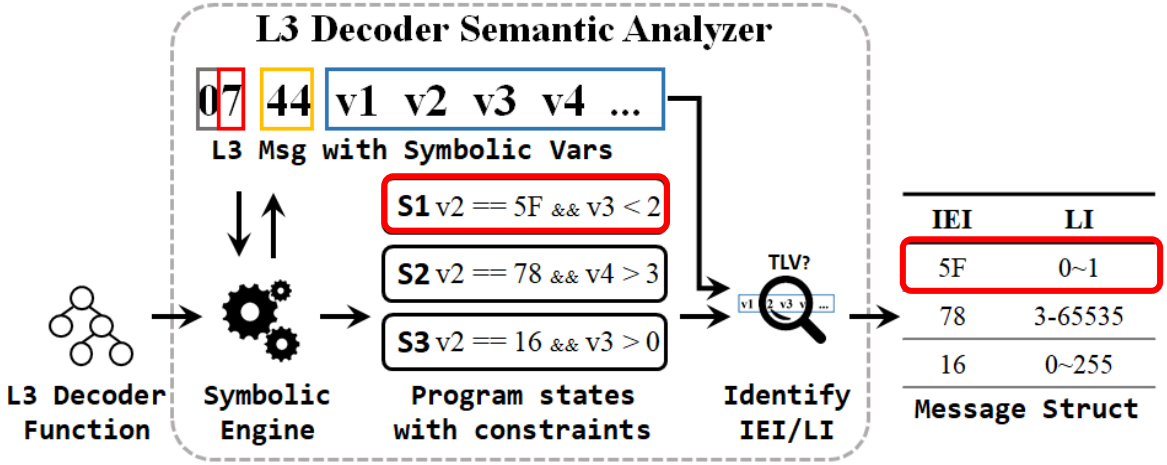
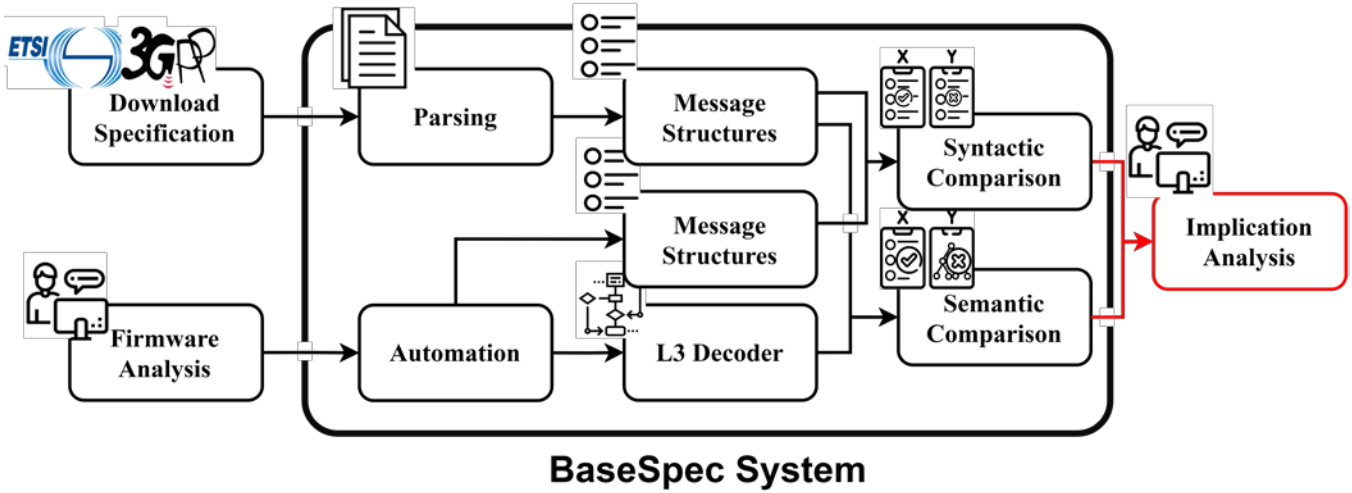


5. Implication Analysis



5. Implication Analysis

- Comparison reports mismatches
  - **Missing**: IEs not in firmware
  - **Unknown**: IEs only in firmware
  - **Invalid**: IEs with incorrect lengths

Functional Error
Functional Error
Memory Corruption (Vulnerabilities)

- Some mismatches may not cause errors
  - Additional check routines after decoder function
  - Optional to implement
  - ➔ **Manual** analysis is required
    But mismatches can pinpoint erroneous parts

Evaluation

- Implemented a prototype with IDA Pro and angr

- Target
  - 2 major vendors (ARM)
  - 18 firmware images from **Vendor$_1$** (9 models × 2 versions)
  - 3 firmware images from **Vendor$_2$** (3 models)

- Details are anonymized upon the vendor's request
  - We reported all the findings to the vendors

Evaluation Results

- Hundreds of mismatches are reported from every firmware

- Implication analysis results
  - $Vendor_1$
    - 5 Functional Errors
    - 4 Memory-related vulnerabilities
      - ➔ 2 critical Remote Code Execution (RCE) vulnerabilities
  - $Vendor_2$
    - 1 Memory-related vulnerability

Mismatch Results – Vendor$_1$

			In Binary		Common Mismatch						Syntactic-only Mismatch						Semantic-only Mismatch						Case Study Results								
			# of		Missing		Unknown		Invalid		Missing		Unknown		Invalid		Missing		Unknown		Invalid		Functional†					Memory-related			
	Model	Build Date	Msgs	IEs	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	E1	E2	E3	E4	E5	E6‡	E7	E8‡	E9
Latest Firmware	Model A	May/2020	268	1204	1	164	0	36	38	109	3	19	6	13	21	52	1	6	0	9	35	203	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model B	May/2020	268	1201	1	167	0	36	38	109	3	19	6	13	21	52	1	6	0	9	35	200	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model C	May/2020	268	1201	1	167	0	36	38	109	3	19	6	13	21	52	1	6	0	9	35	200	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model D	Jun/2020	268	1200	1	179	0	36	41	111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model E	Jun/2020	268	1200	1	179	0	36	41	111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model F	Apr/2020	268	1198	1	179	0	36	41	111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model G	Apr/2020	268	1198	1	179	0	36	41	111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model H	Apr/2020	263	1096	1	212	0	3	40	39	4	19	8	34	21	118	1	327	0	1	32	71	·	✓	✓	·	·	·	·	✓	✓
	Model I	Apr/2020	263	1096	1	212	0	3	40	39	4	19	8	34	21	118	1	327	0	1	32	71	·	✓	✓	·	·	·	·	✓	✓

*IE: Information Element (= message field) i-IE: imperative (≈mandatory) IE n-IE: non-imperative (≈optional) IE

Mismatch Results – Vendor$_1$

- Missing imperative (≈mandatory) & Unknown IEs
  - Directly indicate **functional errors**

			In Binary		Common Mismatch				Syntactic-only Mismatch				Semantic-only Mismatch				Case Study Results								
			# of		Missing		Unknown		Invalid		Missing		Unknown		Invalid		Missing		Unknown		Invalid		Functional†					Memory-related			
	Model	Build Date	Msgs	IEs	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	E1	E2	E3	E4	E5	E6‡	E7	E8‡	E9
Latest Firmware	Model A	May/2020	268	1204	1	164	0	36	38	109	3	19	6	13	21	52	1	6	0	9	35	203	✓	✓	✓	✓	✓	✓	·	✓	✓
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	Model F	Apr/2020	268	1198	1	179	0	36	41	111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
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- Missing imperative (≈mandatory) & Unknown IEs
  - Directly indicate **functional errors**
- Invalid IEs
  - Numerous incorrect length limit / ad-hoc length checks after decoder function
  - Can lead to **memory-related bugs**

			In Binary		Common Mismatch					Syntactic-only Mismatch					Semantic-only Mismatch					Case Study Results								
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	Model	Build Date	Msgs	IEs	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	E1	E2	E3	E4	E5	E6‡	E7	E8‡	E9
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  - May not be buggy

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Latest Firmware	Model A	May/2020	268	1204	1	164	0	36	38	109	3	19	6	13	21	52	1	6	0	9	35	203	✓	✓	✓	✓	✓	✓	·	✓	✓
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	Model D	Jun/2020	268	1200	1	179	0	36	41	111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model E	Jun/2020	268	1200	1	179	0	36	41	111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model F	Apr/2020	268	1198	1	179	0	36	41	111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model G	Apr/2020	268	1198	1	179	0	36	41	111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
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- Missing non-imperative (≈optional) IEs
  - May not be buggy

➡ **9 erroneous cases affecting 33 distinct messages**

			In Binary		Common Mismatch					Syntactic-only Mismatch						Semantic-only Mismatch						Case Study Results								
			# of		Missing		Unknown		Invalid	Missing		Unknown		Invalid		Missing		Unknown		Invalid		Functional†					Memory-related			
	Model	Build Date	Msgs	IEs	i-IE	n-IE	i-IE	n-IE	i-IE n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	E1	E2	E3	E4	E5	E6‡	E7	E8‡	E9
Latest Firmware	Model A	May/2020	268	1204	1	164	0	36	38 109	3	19	6	13	21	52	1	6	0	9	35	203	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model B	May/2020	268	1201	1	167	0	36	38 109	3	19	6	13	21	52	1	6	0	9	35	200	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model C	May/2020	268	1201	1	167	0	36	38 109	3	19	6	13	21	52	1	6	0	9	35	200	✓	✓	✓	✓	✓	✓	·	✓	✓
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	Model E	Jun/2020	268	1200	1	179	0	36	41 111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model F	Apr/2020	268	1198	1	179	0	36	41 111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model G	Apr/2020	268	1198	1	179	0	36	41 111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
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Mismatch Results – $Vendor_1$

- Let's see E1 (functional) and E6 (memory-related)
  - Appear in new models (Model A to G)

			In Binary	Common Mismatch						Syntactic-only Mismatch						Semantic-only Mismatch						Case Study Results								
			# of	Missing		Unknown		Invalid		Missing		Unknown		Invalid		Missing		Unknown		Invalid		Functional†					Memory-related			
	Model	Build Date	Msgs	IEs	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	i-IE	n-IE	E1	E2	E3	E4	E5	E6‡	E7	E8‡	E9
Latest Firmware	Model A	May/2020	268	1204	1	164	0	36	38	109	3	19	6	13	21	52	1	6	0	9	35	203	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model B	May/2020	268	1201	1	167	0	36	38	109	3	19	6	13	21	52	1	6	0	9	35	200	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model C	May/2020	268	1201	1	167	0	36	38	109	3	19	6	13	21	52	1	6	0	9	35	200	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model D	Jun/2020	268	1200	1	179	0	36	41	111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model E	Jun/2020	268	1200	1	179	0	36	41	111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model F	Apr/2020	268	1198	1	179	0	36	41	111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
	Model G	Apr/2020	268	1198	1	179	0	36	41	111	3	18	6	13	21	52	1	6	0	9	32	186	✓	✓	✓	✓	✓	✓	·	✓	✓
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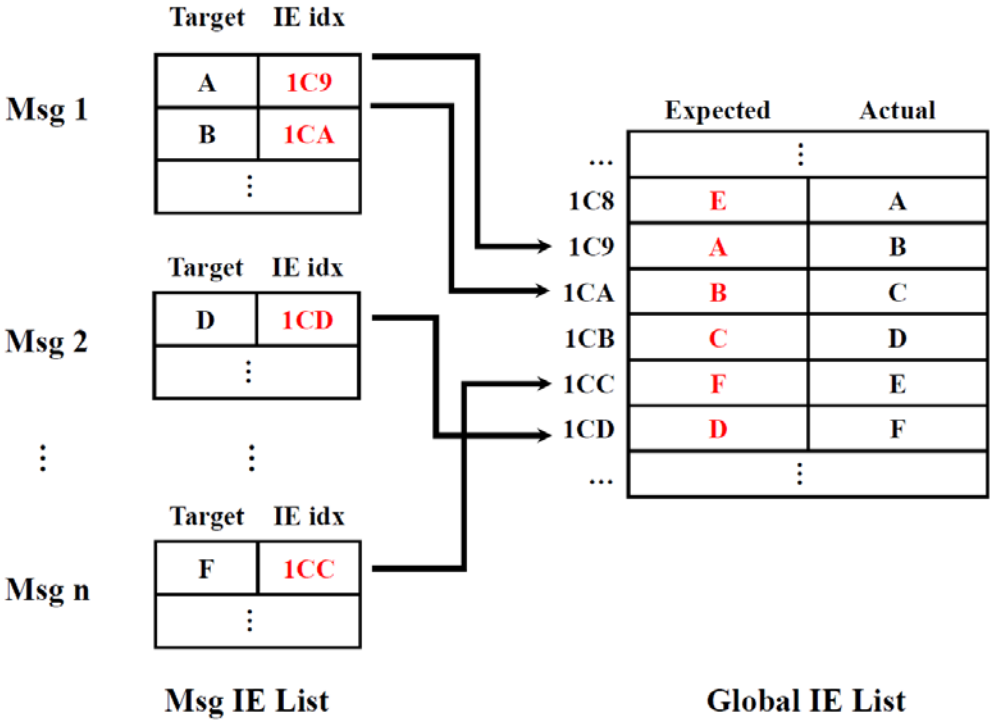
Case Study - E1

- Problem
  - Developers embedded IEs incorrectly
  - IE order differs

- Buggy IEs (six IEs)

Header compression configuration
Control plane only indication
User data container
Release assistance indication
Extended protocol configuration options
Serving PLMN rate control

- Result
  - **22 mismatches**



Case Study - E6

- Vulnerable message & IE
  - P-TMSI REALLOCATION COMMAND
  - Allocated P-TMSI IE
- Reported by invalid mismatch
  - Spec: 5 bytes
  - Firmware: takes upto 255 bytes
  - Not all IEs are checked properly
- Result
  - Stack-based buffer overflow
  - No protection (**exploitable**)

```
void handle_ptmsi_rellocation()
{
    char allocated_ptmsi[5];
    ...
    get_ie_bytes(allocated_ptmsi,
                 ALLOCATED_PTMSI_IDX);
    ...
}

void get_ie_bytes(char *buf, enum IE_IDX idx)
{
    int length;
    char *value;

    // Get a length of the IE in the message (Controllable)
    length = get_ie_length(idx);

    // Check lengths for certain IEs
    if(idx == PLMN_LIST_IDX && length > 45)
        length = 45;
    if(idx == LSA_ID_IDX && length > 3)
        length = 3;

    // Get a value of the IE (Controllable)
    value = get_ie_value(idx);
    memcpy(buf, value, length);
}
```

Case Study - E6

- Vulnerable message & IE
  - P-TMSI REALLOCATION COMMAND
  - Allocated P-TMSI IE
- Reported by invalid mismatch
  - Spec: 5 bytes
  - Firmware: takes upto 255 bytes
  - Not all IEs are checked properly
- Result
  - Stack-based buffer overflow
  - No protection (**exploitable**)

```
void handle_ptmsi_rellocation()
{
    char allocated_ptmsi[5];  ← 5 bytes buffer
    ...
    get_ie_bytes(allocated_ptmsi,
                 ALLOCATED_PTMSI_IDX);
    ...
}

void get_ie_bytes(char *buf, enum IE_IDX idx)
{
    int length;
    char *value;

    // Get a length of the IE in the message (Controllable)
    length = get_ie_length(idx);

    // Check lengths for certain IEs
    if(idx == PLMN_LIST_IDX && length > 45)
        length = 45;
    if(idx == LSA_ID_IDX && length > 3)
        length = 3;

    // Get a value of the IE (Controllable)
    value = get_ie_value(idx);
    memcpy(buf, value, length);
}
```

Case Study - E6

- Vulnerable message & IE
  - P-TMSI REALLOCATION COMMAND
  - Allocated P-TMSI IE
- Reported by invalid mismatch
  - Spec: 5 bytes
  - Firmware: takes upto 255 bytes
  - Not all IEs are checked properly
- Result
  - Stack-based buffer overflow
  - No protection (**exploitable**)

```
void handle_ptmsi_rellocation()
{
    char allocated_ptmsi[5];  ← 5 bytes buffer
    ...
    get_ie_bytes(allocated_ptmsi,
                 ALLOCATED_PTMSI_IDX);
    ...
}

void get_ie_bytes(char *buf, enum IE_IDX idx)
{
    int length;
    char *value;

    // Get a length of the IE in the message (Controllable)
    length = get_ie_length(idx);

    // Check lengths for certain IEs
    if(idx == PLMN_LIST_IDX && length > 45)
        length = 45;
    if(idx == LSA_ID_IDX && length > 3)  ← No length check for
        length = 3;                          Allocated P-TMSI

    // Get a value of the IE (Controllable)
    value = get_ie_value(idx);
    memcpy(buf, value, length);  ← Copy to buffer
}
```

Discussion & Limitations

- Fully automating bug discovery
  - Requires additional efforts for implication analysis
  - Other techniques (e.g., fuzzing, symbolic analysis) can be combined
- Applicability of BaseSpec
  - Only standard L3 messages are supported currently
  - Similar approach is applicable to other cellular protocols (e.g., ASN.1)
- Other types of bugs
  - Only covers bugs in the decoding logic
  - Cannot cover state-related bugs

Conclusion

- Systematically compared cellular baseband firmware with the specification for standard L3 messages
  - Found 10 error cases including 2 critical RCE vulnerabilities

- Lessons learned
  - Many errors occur in the development process from specifications
  - Comparative analysis can find such errors
  - Various firmware versions and device models can be analyzed (w/o real device)

Thank You!

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